

## Exhibit E

### SNAP Fund Investment Questionnaire

Account # \_\_\_\_\_

Client Name: \_\_\_\_\_ Date: \_\_\_\_\_

*The confidential client Investment Questionnaire is designed to help determine the appropriate portfolio for you. Please circle the appropriate answer for each question.*

1. What percentage of your total investable assets is being considered for this account?
  - (1) 75% or more
  - (2) 50% to 75%
  - (3) 25% to 50%
  - (4) 25% or less
  
2. How many years do you feel you will keep the majority of your portfolio invested?
  - (1) Less than 5
  - (2) 5 to 10
  - (3) 10 to 15
  - (4) More than 15
  
3. What do you expect your income to do over the next 10 years?
  - (1) Decrease
  - (2) Stabilize
  - (3) Keep pace with inflation
  - (4) Increase substantially
  
4. If an investment within your portfolio were to decline by 20% within a short period of time, such as one year, how would you react?
  - (1) Sell
  - (2) Review my risk profile
  - (3) Continue to hold
  - (4) Buy more
  
5. Does your portfolio need to provide you with current income?
  - (1) Yes, over 60% of my need
  - (2) Yes, 30% to 60% of my need
  - (3) Yes, up to 30% of my need
  - (4) No, current income unnecessary
  
6. How important is it that your investments grow in value over time, including wealth transfer and charitable bequests?
  - (1) Not important
  - (2) Somewhat important
  - (3) Important
  - (4) Very important

**Investment Questionnaire Total** (add up numerical answers to questions): \_\_\_\_\_

## Your Investment Objective

A successful investment plan is one that starts with a clear, well-understood objective. Your objective, based on the questionnaire total score is as follows: *(please mark)*

\_\_\_\_\_ 1-8 = Safety of Principal

\_\_\_\_\_ 9-17 = Conservative Income

\_\_\_\_\_ 18-24 = Balanced

\_\_\_\_\_ Investment objective should be \_\_\_\_\_ because \_\_\_\_\_

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Your investment objective is further defined as follows:

	<u>Safety of Principal</u>	<u>Conservative Income</u>	<u>Balanced</u>
Cash	0-100%	0-20%	0-20%
Fixed Income	0-100%	35%-100%	40%-60%
Equity	0	0-25%	40%-60%

Examples of specific investments:

Cash	Money Market Funds
Fixed Income	Bank CD's, Treasury Bills or Bonds, or no load <u>bond</u> mutual funds
Equity	No load <u>equity</u> mutual funds

*The investment advisor may choose to invest in no load mutual funds with a conservative income objective or a balanced objective.*

**Your** account will be managed according to the principles of asset allocation. The purpose of asset allocation is to optimize the risk/reward ratio of your portfolio by investing among several asset classes. The performance of your account is gauged from your overall portfolio. Asset allocation should not be confused with diversification.

**If** your financial profile or investment objectives change, please notify the SNAP Fund co-coordinator. The asset allocation model may need to be adjusted.